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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,554	01/11/2002	John Brixius	3499-97

CONFIRMATION NO. 7333

FORMALITIES LETTER



\*OC000000007456837\*

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166-0153

Date Mailed: 02/11/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*T. H. Sew*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date:

8-8-02

Name:

Melissa Scanzillo

Signature:

Melissa Scanzillo

Clifford Chance Rogers & Wells LLP

Docket No. 3499-097

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brixius et al.

Filed: January 11, 2002

Group Art Unit: 2164

Serial No: 10/043,554

Examiner: to be determined

For: METHOD AND SYSTEM FOR VENTURE  
CAPITALIST DISTRIBUTION OF STOCK

#### RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner for Patents  
BOX: MISSING PARTS  
Washington, D.C. 20231

RECEIVED

OCT 03 2002

OFFICE OF PETITIONS

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application (copy enclosed)  
dated February 12, 2002 received for the above identified application, enclosed please find the following:

1. ☒ Executed Declaration and Power of Attorney.
2. ☒ A petition for Extension of Time.
3. ☒ Petition Under 37 CFR §1.47 in Support of Accepting a Declaration  
when an Inventor Refuses to Sign.
4. ☒ Declaration of Ms. Cristina Carbone and Exhibits

Please make the Declaration and Power of Attorney of record in the above-identified application.

To: OIPE

Kindly print a corrected  
filing receipt to include  
only the inventors as  
listed on the Declaration

Thank you.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

8. Aug. 2002

Respectfully submitted,

Mitchell S. Feller

Mitchell S. Feller

Reg. No. 42,530

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